

(擇一勾選適用 One of the following check boxes must be selected)

外國法人投資證券申報納稅代理書【請續填表一】

(For Foreign Corporate Person to File Tax Returns and Pay Tax in Connection with Securities Investments) 【Please proceed to fill in Table 1】

華僑或外國自然人投資證券申報納稅代理書【請續填表二】

(For Overseas Chinese or Foreign Nationals to File Tax Returns and Pay Tax in Connection with Securities Investments) 【Please proceed to fill in Table 2】

Agent Appointment and Acceptance Form

【表一 Table 1】委託人 Appointer：外國法人 Foreign Corporate Person	
名稱 Name (中文 Chinese): (英文 English):	
身分編號 FINI No:	
國外設籍地址 Registered Office Address Outside of the R.O.C.:	
<u>委 託 (Appointment)</u>	
茲依「華僑及外國人投資證券管理辦法」第6條規定，特委託下列代理人負責代理本人在中華民國境內投資證券相關之稅捐申報及納稅事宜。 Pursuant to Article 6 of "The Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals", I hereby appoint the agent named in the below column to file tax returns and pay taxes in connection with my securities investments in the R.O.C.	

【表二 Table 2】委託人 Appointer：華僑或外國自然人 Overseas Chinese or Foreign Nationals	
姓名 Name (中文 Chinese): (英文 English):	
身分編號 FIDI No:	
國籍 Nationality:	統一編號 Taxpayer ID No.
護照字號 Passport Number:	
在華聯絡地址 Address in the R.O.C.: 縣市 鄉鎮市區 村里 鄰 路(街) 段 巷 弄 號 樓 室	
國外地址 Address outside the R.O.C.	
<u>委 託 (Appointment)</u>	
茲依「華僑及外國人投資證券管理辦法」第6條規定，特委託下列代理人負責代理本人在中華民國境內投資證券相關之稅捐申報及納稅事宜。 Pursuant to Article 6 of "The Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals", I hereby appoint the agent named in the below column to file tax returns and pay taxes in connection with my securities investments in the R.O.C.	
此 致	
財政部 國稅局	
To: National Taxation Bureau of	, Ministry of Finance
委託人 Appointer:	(委託人親自簽名 Signature)
	年 Year 月 Month 日 Day

代 理 人 Agent (擇一勾選適用 One of the following check boxes must be selected)		
<input type="checkbox"/> 自然人 Natural Person	姓名 Name:	身分證統一編號 ID No.:
	服務機構 Name of Employer of Agent:	職稱 Job Title:
	電話號碼 Telephone No. (O):	(H): (Mobile):
	戶籍地址 Domicile:	
	聯絡地址 Contact Address:	
<input type="checkbox"/> 法人 Legal Person	名稱 Name:	統一編號 Uniform Number (BAN):
	設籍地址 Address of Registered Office:	電話號碼 Telephone No.:
	負責人、代表人或管理人 Responsible Person or Representative:	
	身分證統一編號 ID No.:	聯絡電話 Telephone No.:
	聯絡地址 Contact Address:	

承諾事項(Commitment)

- 一、本代理人願意接受本件委託人之委託，代理並負責其在中華民國境內依稅法之規定申報並繳納其因投資證券所發生之一切稅捐及罰鍰；如委託人未在規定之申報期限屆滿前辦理申報，並依法繳稅時，本代理人願意負責繳納其應納之稅捐及罰鍰；如未依限繳納，經稅捐稽徵機關移送行政執行署對本代理人之所有財產強制執行時，本代理人絕無異議。

I hereby accept the appointment as agent for the appointer in respect of filing tax returns and paying taxes and fines in the R.O.C. in accordance with applicable tax acts and regulations with respects to securities investments made by the appointer. If the appointer fails to file his/her/its tax returns within the prescribed period and/or to pay taxes when due in accordance with relevant laws and regulations, I shall take full responsibility to pay such taxes and/or fines attributable to the appointer. If I fail to pay such taxes and/or fines attributable to the appointer within the period prescribed by law, I shall have no objection to enforcement being made against my property by the Administrative Enforcement Agency upon the request of the tax authority.

- 二、代理人如有變更時，自委託人投資證券之日起至該管稽徵機關核准變更之日止所發生之一切稅捐及罰鍰，本代理人願負連帶繳納之義務。

In case of a change of agent, we, the appointer and the agent previously appointed by the appointer, shall be jointly and severally liable to pay any and all taxes and fines incurred from the date on which the appointer first makes securities investment to the date on which the change of agent is approved by the tax authority.

本代理人已詳閱本件內容，完全明瞭有關規定及應負之責任，謹簽名蓋章如下：

I have carefully reviewed this form and fully understand the relevant laws, regulations, and responsibilities described herein. I hereby execute and affix my seal on this form.

此致

財政部 國稅局

To: National Taxation Bureau of _____, Ministry of Finance

代理人 Agent: (簽章)

負責人 Responsible person: (簽章)

年 Year 月 Month 日 Day

注意事項 Note:

一、委託人如為外國法人 (The Appointer is Foreign Corporate Person):

1. 代理人應出具資格證明文件：如為自然人，須持有中華民國國民身分證，具行為能力，年所得在新臺幣1百萬元以上且為中華民國境內居住之個人；如為法人，須為依中華民國法律設立之公司組織，且依法得經營代理業務。

The agent shall submit the documents evidencing his/her/its qualification. A natural-person agent shall hold an ID card of the R.O.C. with full legal capacity and annual income of not less than NT\$1,000,000 and reside in the R.O.C. A legal-person agent shall be a company incorporated in accordance with the laws of the R.O.C. and be permitted to engage in agency business.

2. 代理人應出具經委託人所在地或其代表人簽署地之我國使領館或其他經我國政府認許機構或當地法院或公證人驗(認)證之委託契約書。

The agent shall submit Power of Attorney ("POA"). The POA shall be certified by an overseas embassy/consulate of the R.O.C., other institute authorized by the R.O.C., the court or a notary public in the place where the appointer resides or where its legal representative signs the document.

3. 本項申請請向代理人戶籍所在地或設籍地址所屬國稅局辦理。

This application shall be submitted to the district National Taxation Bureau with jurisdiction over the location where the agent's household registration/registered office is located.

二、委託人如為華僑或外國自然人 (The Appointer is Overseas Chinese or Foreign Nationals):

1. 代理人應出具資格證明文件：如為自然人，須持有中華民國國民身分證，具行為能力，年所得在新臺幣1百萬元以上且為中華民國境內居住之個人；如為法人，須為依中華民國法律設立之公司組織，且依法得經營代理業務。

The agent shall submit the documents evidencing his/her/its qualification. A natural-person agent shall hold an ID card of the R.O.C. with full legal capacity and annual income of not less than NT\$1,000,000 and reside in the R.O.C. A legal-person agent shall be a company incorporated in accordance with the laws of the R.O.C. and be permitted to engage in agency business.

2. 委託人為境內華僑或外國人者，應提示護照；委託人為境外華僑或外國人者，應提示經其本國主管驗證機關或當地法院或公證人或我國駐外館處驗(認)證之文件，以憑核驗身分證明及簽名。

If the appointer is an overseas Chinese or foreign national residing in the R.O.C., he or she shall present his or her passport, and if he or she is an overseas Chinese or foreign national residing outside of the R.O.C., he or she shall present copies of his or her documents authenticated by the competent authority, the court or a notary public in his or her home country or certified by an overseas embassy/consulate of the R.O.C. or its functional equivalent in the place where such documents are executed for examination of the appointer's personal data and signature.

3. 境內華僑或外國人請向居留證登記地址之國稅局辦理；境外華僑或外國人請向代理人戶籍所在地或設籍地址所屬國稅局辦理。

This form, for an overseas Chinese or foreign national appointer residing in the R.O.C., shall be submitted to the National Taxation Bureau in the place where such overseas Chinese or foreign national resides as registered in his/her alien resident certificate. For an overseas Chinese or a foreign national residing outside of the R.O.C. submission should be made to the National Taxation Bureau with jurisdiction over the location where the agent's household registration/registered office is located.

(擇一勾選適用 One of the following check boxes must be selected)

外國法人投資證券申報納稅代理書【請續填表一】

(For Foreign Corporate Person to File Tax Returns and Pay Tax in Connection with Securities Investments) 【Please proceed to fill in Table 1】

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(For Overseas Chinese or Foreign Nationals to File Tax Returns and Pay Tax in Connection with Securities Investments) 【Please proceed to fill in Table 2】

Agent Appointment and Acceptance Form

【表一 Table 1】委託人 Appointer：外國法人 Foreign Corporate Person	
名稱 Name (中文 Chinese): (英文 English):	
身分編號 FINI No:	
國外設籍地址 Registered Office Address Outside of the R.O.C.:	
委 託 (Appointment)	
茲依「華僑及外國人投資證券管理辦法」第6條規定，特委託下列代理人負責代理本人在中華民國境內投資證券相關之稅捐申報及納稅事宜。 Pursuant to Article 6 of "The Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals", I hereby appoint the agent named in the below column to file tax returns and pay taxes in connection with my securities investments in the R.O.C.	

【表二 Table 2】委託人 Appointer：華僑或外國自然人 Overseas Chinese or Foreign Nationals	
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身分編號 FIDI No:	
國籍 Nationality:	統一編號 Taxpayer ID No.
護照字號 Passport Number:	
在華聯絡地址 Address in the R.O.C.:	
縣市 鄉鎮市區 村里 鄰 路(街) 段 巷 弄 號 樓 室	
國外地址 Address outside the R.O.C.	
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財政部 國稅局 To: National Taxation Bureau of _____, Ministry of Finance	
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年 Year 月 Month 日 Day	

代 理 人 Agent (擇一勾選適用 One of the following check boxes must be selected)			
<input type="checkbox"/> 自然人 Natural Person	姓名 Name:	身分證統一編號 ID No.:	
	服務機構 Name of Employer of Agent:	職稱 Job Title:	
	電話號碼 Telephone No. (O):	(H):	(Mobile):
	戶籍地址 Domicile:		
	聯絡地址 Contact Address:		
<input type="checkbox"/> 法人 Legal Person	名稱 Name:	統一編號 Uniform Number (BAN):	電話號碼 Telephone No.:
	設籍地址 Address of Registered Office:		
	負責人、代表人或管理人 Responsible Person or Representative:		
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此致

財政部 國稅局

To: National Taxation Bureau of , Ministry of Finance

代理人 Agent:

(簽章)

負責人 Responsible person:

(簽章)

年 Year 月 Month 日 Day

注意事項 Note:

一、 委託人如為外國法人 (The Appointer is Foreign Corporate Person):

1. 代理人應出具資格證明文件：如為自然人，須持有中華民國國民身分證，具行為能力，年所得在新臺幣 1 百萬元以上且為中華民國境內居住之個人；如為法人，須為依中華民國法律設立之公司組織，且依法得經營代理業務。

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2. 代理人應出具經委託人所在地或其代表人簽署地之我國使領館或其他經我國政府認許機構或當地法院或公證人驗(認)證之委託契約書。

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3. 本項申請請向代理人戶籍所在地或設籍地址所屬國稅局辦理。

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2. 委託人為境內華僑或外國人者，應提示護照；委託人為境外華僑或外國人者，應提示經其本國主管驗證機關或當地法院或公證人或我國駐外館處驗(認)證之文件，以憑核驗身分證明及簽名。

If the appointer is an overseas Chinese or foreign national residing in the R.O.C., he or she shall present his or her passport, and if he or she is an overseas Chinese or foreign national residing outside of the R.O.C., he or she shall present copies of his or her documents authenticated by the competent authority, the court or a notary public in his or her home country or certified by an overseas embassy/consulate of the R.O.C. or its functional equivalent in the place where such documents are executed for examination of the appointer's personal data and signature.

3. 境內華僑或外國人請向居留證登記地址之國稅局辦理；境外華僑或外國人請向代理人戶籍所在地或設籍地址所屬國稅局辦理。

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(For Overseas Chinese or Foreign Nationals to File Tax Returns and Pay Tax in Connection with Securities Investments) 【Please proceed to fill in Table 2】

Agent Appointment and Acceptance Form

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名稱 Name (中文 Chinese): (英文 English):	
身分編號 FINI No:	
國外設籍地址 Registered Office Address Outside of the R.O.C.:	
<u>委 託 (Appointment)</u>	
茲依「華僑及外國人投資證券管理辦法」第6條規定，特委託下列代理人負責代理本人在中華民國境內投資證券相關之稅捐申報及納稅事宜。 Pursuant to Article 6 of "The Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals", I hereby appoint the agent named in the below column to file tax returns and pay taxes in connection with my securities investments in the R.O.C.	

【表二 Table 2】委託人 Appointer：華僑或外國自然人 Overseas Chinese or Foreign Nationals	
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身分編號 FIDI No:	
國籍 Nationality:	統一編號 Taxpayer ID No.
護照字號 Passport Number:	
在華聯絡地址 Address in the R.O.C.:	
縣市 鄉鎮市區 村里 鄰 路(街) 段 巷 弄 號 樓 室	
國外地址 Address outside the R.O.C.	
<u>委 託 (Appointment)</u>	
茲依「華僑及外國人投資證券管理辦法」第6條規定，特委託下列代理人負責代理本人在中華民國境內投資證券相關之稅捐申報及納稅事宜。 Pursuant to Article 6 of "The Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals", I hereby appoint the agent named in the below column to file tax returns and pay taxes in connection with my securities investments in the R.O.C.	
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代 理 人 Agent (擇一勾選適用 One of the following check boxes must be selected)			
<input type="checkbox"/> 自然人 Natural Person	姓名 Name:	身分證統一編號 ID No.:	
	服務機構 Name of Employer of Agent:	職稱 Job Title:	
	電話號碼 Telephone No. (O):	(H): (Mobile):	
	戶籍地址 Domicile:		
	聯絡地址 Contact Address:		
<input type="checkbox"/> 法人 Legal Person	名稱 Name:	統一編號 Uniform Number (BAN):	電話號碼 Telephone No.:
	設籍地址 Address of Registered Office:		
	負責人、代表人或管理人 Responsible Person or Representative:		
	身分證統一編號 ID No.:	聯絡電話 Telephone No.:	
	聯絡地址 Contact Address:		

第三聯 交代理人收執 Duplicate 3-Deliver to agent as receipt.

續背面

承諾事項(Commitment)

- 一、本代理人願意接受本件委託人之委託，代理並負責其在中華民國境內依稅法之規定申報並繳納其因投資證券所發生之一切稅捐及罰鍰；如委託人未在規定之申報期限屆滿前辦理申報，並依法繳稅時，本代理人願意負責繳納其應納之稅捐及罰鍰；如未依限繳納，經稅捐稽徵機關移送行政執行署對本代理人之所有財產強制執行時，本代理人絕無異議。

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- 二、代理人如有變更時，自委託人投資證券之日起至該管稽徵機關核准變更之日止所發生之一切稅捐及罰鍰，本代理人願負連帶繳納之義務。

In case of a change of agent, we, the appointer and the agent previously appointed by the appointer, shall be jointly and severally liable to pay any and all taxes and fines incurred from the date on which the appointer first makes securities investment to the date on which the change of agent is approved by the tax authority.

本代理人已詳閱本件內容，完全明瞭有關規定及應負之責任，謹簽名蓋章如下：

I have carefully reviewed this form and fully understand the relevant laws, regulations, and responsibilities described herein. I hereby execute and affix my seal on this form.

此致

財政部 國稅局

To: National Taxation Bureau of , Ministry of Finance

代理人 Agent:

(簽章)

負責人 Responsible person:

(簽章)

年 Year 月 Month 日 Day

注意事項 Note:

一、委託人如為外國法人 (The Appointer is Foreign Corporate Person):

1. 代理人應出具資格證明文件；如為自然人，須持有中華民國國民身分證，具行為能力，年所得在新臺幣1百萬元以上且為中華民國境內居住之個人；如為法人，須為依中華民國法律設立之公司組織，且依法得經營代理業務。

The agent shall submit the documents evidencing his/her/its qualification. A natural-person agent shall hold an ID card of the R.O.C. with full legal capacity and annual income of not less than NT\$1,000,000 and reside in the R.O.C. A legal-person agent shall be a company incorporated in accordance with the laws of the R.O.C. and be permitted to engage in agency business.

2. 代理人應出具經委託人所在地或其代表人簽署地之我國使領館或其他經我國政府認許機構或當地法院或公證人驗(認)證之委託契約書。

The agent shall submit Power of Attorney ("POA"). The POA shall be certified by an overseas embassy/consulate of the R.O.C., other institute authorized by the R.O.C., the court or a notary public in the place where the appointer resides or where its legal representative signs the document.

3. 本項申請請向代理人戶籍所在地或設籍地址所屬國稅局辦理。

This application shall be submitted to the district National Taxation Bureau with jurisdiction over the location where the agent's household registration/registered office is located.

二、委託人如為華僑或外國自然人 (The Appointer is Overseas Chinese or Foreign Nationals):

1. 代理人應出具資格證明文件；如為自然人，須持有中華民國國民身分證，具行為能力，年所得在新臺幣1百萬元以上且為中華民國境內居住之個人；如為法人，須為依中華民國法律設立之公司組織，且依法得經營代理業務。

The agent shall submit the documents evidencing his/her/its qualification. A natural-person agent shall hold an ID card of the R.O.C. with full legal capacity and annual income of not less than NT\$1,000,000 and reside in the R.O.C. A legal-person agent shall be a company incorporated in accordance with the laws of the R.O.C. and be permitted to engage in agency business.

2. 委託人為境內華僑或外國人者，應提示護照；委託人為境外華僑或外國人者，應提示經其本國主管驗證機關或當地法院或公證人或我國駐外館處驗(認)證之文件，以憑核驗身分證明及簽名。

If the appointer is an overseas Chinese or foreign national residing in the R.O.C., he or she shall present his or her passport, and if he or she is an overseas Chinese or foreign national residing outside of the R.O.C., he or she shall present copies of his or her documents authenticated by the competent authority, the court or a notary public in his or her home country or certified by an overseas embassy/consulate of the R.O.C. or its functional equivalent in the place where such documents are executed for examination of the appointer's personal data and signature.

3. 境內華僑或外國人請向居留證登記地址之國稅局辦理；境外華僑或外國人請向代理人戶籍所在地或設籍地址所屬國稅局辦理。

This form, for an overseas Chinese or foreign national appointer residing in the R.O.C., shall be submitted to the National Taxation Bureau in the place where such overseas Chinese or foreign national resides as registered in his/her alien resident certificate. For an overseas Chinese or a foreign national residing outside of the R.O.C. submission should be made to the National Taxation Bureau with jurisdiction over the location where the agent's household registration/registered office is located.